Evaluation Entry System (EES)

User’s Guide

Fort Knox, KY
14 April 2017
# Table of Contents

Accessing the Evaluation Entry System (EES).................................................................3
Navigating EES.........................................................................................................................4
Creating a NCOER Support Form............................................................................................5
Creating a NCOER.....................................................................................................................9
Creating an OER Support Form...............................................................................................24
Creating an OER.....................................................................................................................29
EES Tools...............................................................................................................................36
View Profiles Where I am a Delegate.......................................................................................37
View my Rater and Senior Rater Profile..................................................................................37
Signature Removal...................................................................................................................38
Support Form Signature Removal............................................................................................38
Request ERS Access...............................................................................................................39
Status & Management Tools: Evaluation Reports System (ERS)........................................39
Status Reports.........................................................................................................................40
Status Reports ARNG..............................................................................................................44
DASH 2 Rater/Senior Rater Profile Manager..........................................................................45
Accessing Manage Delegates Function..................................................................................47
Adding a Delegate...................................................................................................................48
Manage Delegates...................................................................................................................49
Counseling Management Report Tool....................................................................................50
Tips and FAQs.........................................................................................................................51
Troubleshooting......................................................................................................................52
Unlock Rater Profile Process.................................................................................................54
Ways to Submit NCOER/OERs...............................................................................................54
Accessing the Evaluation Entry System (EES)

Users must use the [https://evaluations.hrc.army.mil/](https://evaluations.hrc.army.mil/) web address to access EES.

Users must possess a valid DOD CAC.

Users will select their Digital Certificate and select “OK.”

After users select the appropriate CAC card certificate, the web address will take users to the “Terms of Use” page. Users will select “I agree to the terms of the User Agreement” and select “Accept Terms.”
Navigating EES

Users can navigate EES's various functions from its **Home Page**.

- To return “Home” or logout the system
- To view your profile and/or the profiles of individuals that you are a delegate for
- Training resources for OERs and NCOERs

**Welcome to the HRC Evaluations Entry System**

Please select an option below:

- **OER**
  - Create OER Support Form
  - Edit OER Support Form
  - Create New OER
  - Continue View Active OERs
  - Upload DA Form 2168-3 .pdf to NCOER

- **NCOER**
  - Create NCOER Support Form
  - Edit NCOER Support Form
  - Create New NCOER
  - Continue View Active NCOERs

**Evaluation Tools**

- Training Tools
- Appeals and Corrections
- Evaluation Entry System User's Guide

**External Links and Resources**

- AR 623-3
- DA Pam 600-3
- DA Pam 600-4
- DA Pam 623-3
- S1 NET
- MYBOARDFILE
- ERS
- User Survey and Feedback
- Army Doctrine
- Army Doctrine References
Creation of an NCOER Support Form and corresponding NCOER

**Creating a NCOER Support Form**

- **Create Support Form:** Click on applicable button.

---

**Create / Continue Support Form:**
ANYONE can create and edit support forms.

**Create / Continue Evaluation:**
ANYONE can create, but only Rater, Senior Rater, and Delegates can view and/or edit once saved.

**Manage Delegates:**
Go here to assign delegates.
Enter applicable information for: Rated NCO, Rater, Senior Rater, and Supplementary Reviewer (if applicable)

Email data comes from DMDC. Defaults to upper case but can be modified to lower case.

Can switch between SSN and DODID. Used when issued and entering an International Officer ID number.

Confirm applicable information is correct. Click “NEXT”
Complete filling out the Part I Admin data.

Click “NEXT,” and complete Part II Authentication (Rater/ Senior Rater/ Reviewer Info)

Complete filling out the Part III – Duty Description.

DA PAM 623-3 Chapter 3
Indicate your Performance Goals and Expectations During the Rating Period in Part IV – Performance Goals and Expectations.

Objectives to be accomplished during the Rating Period

Contributions and Accomplishments during the Rating Period

APFT Goals

Actual APFT Results

AR 350-1 and FM 7-22

AR 600-9 Chapter 3
Creating a NCOER
*Failing to comply with the error messages generated within EES could result in the inability to sign the evaluation or result in the evaluation being returned for corrections.

Select the “Create NCOER” button on the top of the NCOER support form

Select the “Rated Soldier” button on the top of the NCOER

Continue to indicate performance objectives and record significant contributions in Part V, blocks (c) - (f).
Complete filling out any Admin data that was not carried over from the Support Form.

Refer to DA PAM 623-3, Chapter 3

Click “NEXT”
AR 623-3, Chapter 3 - When applicable, enter a senior rater statement in part V, block b of the NCOER explaining the reason why counseling was not accomplished when counseling was not completed and counseling dates are omitted from the NCOER.

Select appropriate “Reason for Submission,” Rating period, and “Non-Rated Code” if applicable

Click “NEXT”

Enter any counseling dates that were not carried over from the Support Form.

If no counseling dates are entered, then it must be addressed in the Senior Rater’s comments.

Click “NEXT”

- AR 623-3, Chapter 3 - When applicable, enter a senior rater statement in part V, block b of the NCOER explaining the reason why counseling was not accomplished when counseling was not completed and counseling dates are omitted from the NCOER.

Select the “Rater” button on the top of the NCOER
Complete filling out any Admin data that was not carried over from the Support Form.

Click “NEXT”

Complete filling out the Duty Description

Refer to DA PAM 623-3, Chapter 3

Click “NEXT”

At least five characters but no more than nine
DA PAM 623-3, Chapter 3, block b—Duty MOSC - Enter duty military occupational specialty code (MOSC) (at least five characters but no more than nine). If ASI and/or language skill identifier are required, the duty MOSC will be either seven or nine characters; if the position does not require ASI or language skill identifier only five characters will be used. In cases where the rated NCO is filling an officer position, enter the enlisted MOSC that best matches the officer position.

AR 350-1 and FM 7-22

AR 600-9 Chapter 3

Refer to DA PAM 623-3, Chapter 3

Click “NEXT”

DA PAM 623-3, Chapter 3 - In the space after the word “APFT” the rater will enter “PASS” or “FAIL” and the date (YYYYMMDD) of the most recent record APFT administered by the unit; it will be within the 12-month period prior to the “THRU” date of NCOER. However, the APFT date does not have to fall within the period covered by the NCOER. If the NCO was unable to participate in the most recent record APFT (for example, due to a profile or pregnancy), his or her status at that time will be documented appropriately. The APFT for Soldiers without profiles consists of push-ups, sit-ups, and a 2-mile run.

Mandatory comment for APFT “FAIL,” “NO APFT,” “PROFILE” (if it hinders duty performance), “PREGNANT/POST PARTUM,” and “NO” for AR 600-9 compliance

Refer to DA PAM 623-3, Chapter 3
DA PAM 623-3, Chapter 3 - block c—Character - Rating officials will comment on how well the rated NCO promoted a climate of dignity and respect and adherence to the requirements of the SHARP Program. This assessment should identify, as appropriate, any significant actions or contributions the rated NCO made toward—

1. Promoting the personal and professional development of subordinates.
2. Ensuring the fair, respectful treatment of unit personnel.
3. Establishing a workplace and overall command climate that fosters dignity and respect for all members of the unit.
4. This assessment should also identify any failures by the rated NCO to foster a climate of dignity and respect and adherence to the SHARP Program.
• DA PAM 623-3, Chapter 3 - Bullet comments are mandatory regardless of the box check rating given (at least one bullet will be entered in each block of c through i). Standardized rules apply to bullet comments on NCOER. Bullet comments will—

1. Be short, concise, to the point. Bullets will not be longer than two lines, preferably one, and no more than one bullet to a line.

2. Start with action words (verbs) or possessive pronouns (his or her); personal pronouns (he or she) may be used; use past tense when addressing how the NCO performed and his or her contributions made during the rating period.

3. Be double-spaced between bullets.

4. Be preceded by a small letter “o” to designate the start of the comment. Each bullet comment must start with a small letter unless it is a proper noun (name) that is usually capitalized.

5. Support the box checks by rating officials.

6. Use a specific example only once; therefore, the rater must decide under which attribute and/or competencies the bullet fits best (or is most applicable).
Bullets should be short, concise, to-the-point comments starting with action words (verbs) or possessive pronoun (his or her). Bullet comments will not be longer than two lines.

Comments must be preceded by a small “o” and start with a small letter unless it is a proper noun that is usually capitalized.

Bullet comments must be double spaced between bullets and a specific bullet can only be used once.

DA PAM 623-3, Chapter 3

Click “NEXT”
DA PAM 623-3, Chapter 3 — Rater Overall Performance

1. The rater makes an assessment of the rated NCO's overall performance when compared with all other NCOs of the same rank the rater has previously rated or currently has in his or her rated population. This performance is evaluated in terms of the majority of NCOs in the rater’s population. If the performance assessment is consistent with the majority of NCOs in that grade the rater will place an “X” in the “MET STANDARD” box. If the rated NCO’s performance exceeds that of the majority of NCOs in the rater’s population, the rater will place an “X” in the “EXCEEDED STANDARD” or “FAR EXCEEDED STANDARD” box. (The intent is for raters to use these two boxes when identifying the upper third of NCOs for each rank, with further stratification of the upper third of NCOs placed within the “FAR EXCEEDED STANDARD” box). If the rated NCO’s performance is below the majority of NCOs in the rater’s population for that grade and the rater believes the rated NCO should be further developed, and/or the rated NCOs performance has not met standards required of an Army NCO, the rater will place an “X” in the “DID NOT MEET STANDARD” box.

2. An assessment of “DID NOT MEET STANDARD” in previous blocks c through h by the rater results in only an overall performance assessment of “MET STANDARD” or “DID NOT MEET STANDARD” able to be rendered by the rater.
3. Bullet comments are mandatory and should compare the performance of the rated NCO with his or her contemporaries during the evaluation period (see AR 623-3). The focus is on performance results achieved and the manner by which they were achieved.

The Rater can now click on the “Click Here to Sign” button on the evaluation and sign the NCOER.

SGM/CSM NCOER Part IV
(Refer to DA PAM 623-3, Chapter 3)

- DA PAM 623-3, Chapter 3 - In the space after the word “APFT” the rater will enter “PASS” or “FAIL” and the date (YYYYMMDD) of the most recent record APFT administered by the unit; it will be within the 12-month period prior to the “THRU” date of NCOER. However, the APFT date does not have to fall within the period covered by the NCOER. If the NCO was unable to participate in the most recent record APFT (for example, due to a profile or pregnancy), his or her status at that time will be documented appropriately. The APFT for Soldiers without profiles consists of push-ups, sit-ups, and a 2-mile run.
DA PAM 623-3, Chapter 3 - block c—Character - Rating officials will comment on how well the rated NCO promoted a climate of dignity and respect and adherence to the requirements of the SHARP Program. This assessment should identify, as appropriate, any significant actions or contributions the rated NCO made toward—

1. Promoting the personal and professional development of subordinates.
2. Ensuring the fair, respectful treatment of unit personnel.
3. Establishing a workplace and overall command climate that fosters dignity and respect for all members of the unit.
4. This assessment should also identify any failures by the rated NCO to foster a climate of dignity and respect and adherence to the SHARP Program.
Click the “Senior Rater” button

Complete any administrative data that was not carried over from the NCOER support form.

Click “NEXT”

The Rater can now click on the “Click Here to Sign” button on the evaluation and sign the NCOER.
Determine if a Supplementary Reviewer is required (AR 623-3, Chapter 2)

Is the Rater and/or Senior Rater an Army: E-9, CW-3 thru CW-5, or O-3 or higher?

AR 623-3, Chapter 2 for Senior Rater qualifications

Enter the # of NCOs in this grade that you currently rate

---

Sr. Rater Potential Rating

- Is a Supplementary Reviewer required?  ☑ Yes  ☐ No
- Does the Senior Rater meet minimum rating qualifications to evaluate the rated NCO?  ☑ Yes  ☐ No
- Is the Rated NCO available for signature?  ☑ Yes  ☐ No
- NCO refuses to sign?  ☑ NCO Refuses

Compared Potential

No. of NCOs currently rated in this grade

Rate the Soldier's potential compared with other NCOs of the same grade.
AR 623-3, Chapter 3 - Any technique aimed at making specific words, phrases, or sentences stand out from the rest of the narrative, including, but not limited to the following: Inappropriate references to box checks (OERs and NCOERs) (for example, a senior rater may not refer to the box check that would have been given to a rated officer or NCO if his or her profile supported it, or characterization of the rated officer or NCO as a “top box”, “MOST QUALIFIED”, “6+ NCO”, “If my profile allowed, I would rate this NCO higher.”); these are considered prohibited narrative.

The Senior Rater can now click on the “Click Here to Sign” button on the evaluation and sign the NCOER.

The Rater Soldier can now click on the “Click Here to Sign” button on the evaluation and sign the NCOER.

If a Supplementary Reviewer is not required (AR 623-3, Chapter 2), the evaluation can now be submitted to HQDA for processing.
Click the “Supplementary Reviewer” button, if applicable

Complete any administrative data that was not carried over from the NCOER support form.

Indicate if you desire to provide any comments

Click “NEXT”

The Supplementary Review can now click on the “Click Here to Sign” button on the evaluation and sign the NCOER.

The evaluation can now be submitted to HQDA for processing
Creating an OER Support form

Enter applicable information for:
Rated Officer, Rater, Senior Rater, and Intermediate Rater (if applicable)

Click “NEXT”
Complete the Admin Data for the Rated Officer

Click “NEXT”
Complete the Admin Data for the Rater, Senior Rater, Intermediate Rater (if applicable), and Supplementary Reviewer (if applicable)

Click “NEXT”
Enter appropriate Counseling dates

Click “NEXT”

Identify the Principal Duty Title, Position, and Duties and Responsibilities

Click “NEXT”
Indicate major performance objectives

Record significant contributions

Click “NEXT”

Repeat the above step for Part V, A - F

Rater record Self Development Goals

Senior Rater record Comments

Click “Exit”
Creating an OER
*Failing to comply with the error messages generated within EES could result in the inability to sign the evaluation or result in the evaluation being returned for corrections.

Select the “Create OER” button on the top of the OER support form

Select the “Rated Soldier” button

Complete all Admin Data not auto populated from the support form
Refer to DA PAM 623-3, Chapter 2

Click “Next”
Select appropriate “Reason for Submission,” Rating period, and “Non-Rated Code” if applicable.

Click “Next”

Select the “Rater” button

Complete all Admin Data not auto populated from the support form

Refer to DA PAM 623-3, Chapter 2

Click “Next”
Complete all information not auto populated from the support form

Refer to DA PAM 623-3, Chapter 2

Click “Next”

Refer to DA PAM 623-3, Chapter 2

Enter the number of Officers in this grade that you currently rate

AR 350-1 and FM 7-22

AR 600-9 Chapter 3

Once rating is locked the rating cannot be unlocked by the Rater. A memo must be submitted to HRC to unlock the rating

Click “Next”

- DA PAM 623-3, Chapter 2 - In the space after the word “APFT” the rater will enter “PASS” or “FAIL” and the date (YYYYMMDD) of the most recent record APFT administered by the unit; it will be within the 12-month period prior to the “THRU” date of the DA Form 67-10-1. However,
the APFT date does not have to fall within the period covered by the DA Form 67-10-1. If the rated officer was unable to participate in the most recent record APFT (for example, due to a profile or pregnancy), his or her status at that time will be documented appropriately. The APFT for Soldiers without profiles consists of push-ups, sit-ups, and a 2–mile run.

- DA PAM 623-3, Chapter 2 - block c—Character - Rating officials will comment on how well the rated officer promoted a climate of dignity and respect and adherence to the requirements of the SHARP Program. This assessment should identify, as appropriate, any significant actions or contributions the rated officer made toward—

1. Promoting the personal and professional development of subordinates.

2. Ensuring the fair, respectful treatment of unit personnel.

3. Establishing a workplace and overall command climate that fosters dignity and respect for all members of the unit.

4. This assessment should also identify any failures by the rated officer to foster a climate of dignity and respect and adherence to the SHARP Program.
Input narrative comments for each Performance block

Refer to ADRP 6-22 and ADP 6-22 for additional information on Army’s attributes and core leader competencies

Click “Next”

The Rater can now click on the “Click Here to Sign” button on the evaluation and sign the OER.

Select the “Senior Rater” button
Complete all Admin Data not auto populated from the support form

Refer to DA PAM 623-3, Chapter 2

Click “Next”
See AR 623-3 Chapter 2

Enter the number of Officers in this grade that you currently rate

Refer to DA PAM 623-3, Chapter 2

Click “Next”

The Senior Rater can now click on the “Click Here to Sign” button on the evaluation and sign the OER.

If there is no Intermediate rater and/or Supplementary Reviewer, the OER can now be signed by the Rated Officer and submitted to HQDA.
- Signatures must be removed in reverse order (Supplementary Reviewer, Rated Soldier, Senior Rater, and then Rater). Failure to remove signatures in reverse order will result in locking individuals out of the report.

- If signatures are removed in the incorrect order, have all individuals re-sign the evaluation and then remove signatures in the proper sequence.
View Profiles where I am a delegate

Select the delegator for whom you would like to view profiles:

Note: A rating official must have declared you as a delegate and granted you the authority to view their profile. These delegations and profile permissions must be declared independently for that user’s profiles as a Rater and a Senior Rater.

### Rater Profiles
One item found.

<table>
<thead>
<tr>
<th>Role</th>
<th>Rank Rated</th>
<th>EXCELS</th>
<th>PROFICIENT</th>
<th>CAPABLE</th>
<th>UNSATISFACTORY</th>
</tr>
</thead>
<tbody>
<tr>
<td>RATER</td>
<td>2LT</td>
<td>3</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

### Rater Tendency
3 items found, displaying all items.

<table>
<thead>
<tr>
<th>Role</th>
<th>Rank Rated</th>
<th>FAR EXCEEDED STANDARD</th>
<th>EXCEEDED STANDARD</th>
<th>NOT MET STANDARD</th>
<th>DID NOT MEET STANDARD</th>
</tr>
</thead>
<tbody>
<tr>
<td>RATER</td>
<td>SFC</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>RATER</td>
<td>SGT</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>RATER</td>
<td>CSM/SGM</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

### Senior Rater Profiles
2 items found, displaying all items.

<table>
<thead>
<tr>
<th>Role</th>
<th>Rank Rated</th>
<th>MOST QUAL / MULTISTAR</th>
<th>HIGHLY QUAL / PROMOTE TO BG</th>
<th>QUAL / RETAIN AS COL</th>
<th>UNGUAL / UNSATISFACTORY</th>
</tr>
</thead>
<tbody>
<tr>
<td>SENIOR  RATER</td>
<td>2LT</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>SENIOR  RATER</td>
<td>SFC</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

### View my Rater and Senior Rater Profile

#### Rater Profile
One item found.

<table>
<thead>
<tr>
<th>Role</th>
<th>Rank Rated</th>
<th>EXCELS</th>
<th>PROFICIENT</th>
<th>CAPABLE</th>
<th>UNSATISFACTORY</th>
</tr>
</thead>
<tbody>
<tr>
<td>RATER</td>
<td>2LT</td>
<td>3</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

#### Rater Tendency
3 items found, displaying all items.

<table>
<thead>
<tr>
<th>Role</th>
<th>Rank Rated</th>
<th>FAR EXCEEDED STANDARD</th>
<th>EXCEEDED STANDARD</th>
<th>NOT MET STANDARD</th>
<th>DID NOT MEET STANDARD</th>
</tr>
</thead>
<tbody>
<tr>
<td>RATER</td>
<td>SFC</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>RATER</td>
<td>SGT</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>RATER</td>
<td>CSM/SGM</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

#### Senior Rater Profile
2 items found, displaying all items.

<table>
<thead>
<tr>
<th>Role</th>
<th>Rank Rated</th>
<th>MOST QUAL / MULTISTAR</th>
<th>HIGHLY QUAL / PROMOTE TO BG</th>
<th>QUAL / RETAIN AS COL</th>
<th>UNGUAL / UNSATISFACTORY</th>
</tr>
</thead>
<tbody>
<tr>
<td>SENIOR  RATER</td>
<td>2LT</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>SENIOR  RATER</td>
<td>SFC</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>
Signature Removal – Signatures can also be removed directly from the signature page

Signatures MUST be removed in reverse order. (Rated Soldier, Senior Rater, Rater)

Search function to locate evaluation

Click the evaluation you want to remove your signature from

Confirm you want to remove signature

- Signatures must be removed in reverse order (Supplementary Reviewer, Rated Soldier, Senior Rater, and then Rater). Failure to remove signatures in reverse order will result in locking individuals out of the report.

- If signatures are removed in the incorrect order, have all individuals re-sign the evaluation and then remove signatures in the proper sequence.

Support Form Signature Removal

- Only for removal of Rated Officer’s signature from an OER Support Form
- Signature and initials can also be removed directly from the signature page

Search function to locate evaluation

Click the Support Form you want to remove your signature from

Warning: You are about to remove your signature as a SENIOR RATER on Evaluation 273399 for the rated Soldier: WILLIAMS 2LT
Are you sure you want to continue?

Yes  No
Request ERS Access

- Access should be granted within 15 minutes
- Access is revoked after 30 days of non-use
  - If access is revoked, the user will have to request access be reactivated

Status & Management Tools: Evaluation Reports System (ERS)

- Click on Dashboards drop-down
**Status Reports**

You may select the following options: “Status Reports”, “Late Reports”, “Summary by Component”, Initiated and Returned, or Individual lookup to generate reports. The default is the “Status Reports” tab.

**You must define your search criteria. Reporting will go back for several years if records exist- for performance reasons data greater than 5 years may not be available.**

You can search by: UIC*, DML, DMSL for units and filter by Branch, Command, Rank, and Evaluation Status (covered later). You can also filter if you are looking for OERs, NCOERs, or AERs.

*UIC MUST BE ALL CAPS and UICs may be abbreviated to the first 3-4 characters in the alphanumeric sequence to show their entire UIC hierarchy.

Multiple PSC Codes may be selected in order for Divisions and higher to see their entire formation in one view. Recommendation is to search by PSC (BDE and below) or by UIC.

- Status Reports – allows lookup by any status
- Late Reports – displays late rate by unit or by rating official
- Summary by Component – Allows comparison of late reports by Component against total reports submitted
- Initiated and Returned – helps filter search to ONLY Reports in Draft (initiated) and/or Returned for correction. Does not require delegate authority from EES
- Individual Lookup- allows queries for individual Soldiers
Multiple PSC Codes may be selected in order for divisions and higher to see their entire formation in one view.

Searching by DML and or DMSL also works.

You may also select the rank of the rated Soldier to narrow the search.
A query for reports completed for UIC WH8Q + Active Component + ORB/ERB updated (Status code of X) as filters

<table>
<thead>
<tr>
<th>Code</th>
<th>Text</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>I</td>
<td>DRAFT</td>
<td>Draft has been initiated</td>
</tr>
<tr>
<td>N</td>
<td>RECEIVED</td>
<td>Report has been received at HQDA</td>
</tr>
<tr>
<td>E</td>
<td>WITH EXAMINER</td>
<td>Report is being examined for compliance with AR623</td>
</tr>
<tr>
<td>M</td>
<td>MISFIRE</td>
<td>Profile does not support SR potential indication</td>
</tr>
<tr>
<td>R</td>
<td>REJECTED</td>
<td>Report has been rejected by HQDA</td>
</tr>
<tr>
<td>T</td>
<td>RETURNED, PENDING CORRECTION</td>
<td>Report has been returned by HQDA for correction or at rating chain request</td>
</tr>
<tr>
<td>G</td>
<td>SYNCHRONIZATION</td>
<td>Awaiting Synchronization with SIPR and Hard Copy/Mailed Evaluations</td>
</tr>
<tr>
<td>B</td>
<td>SUBMITTED TO IPERMS</td>
<td>Submitted (by HRC) to AMHRR/IPERMS</td>
</tr>
<tr>
<td>U</td>
<td>PENDING IPERMS</td>
<td>Received by IPERMS (Iperm checks for correct formatting applies to all documents)</td>
</tr>
<tr>
<td>Z</td>
<td>ACCEPTED IPERMS</td>
<td>Iperm has accepted the document into the permanent record</td>
</tr>
<tr>
<td>W</td>
<td>WITHDRAWN</td>
<td>Report returned at Senior Rater request</td>
</tr>
<tr>
<td>X</td>
<td>THRU DATE UPDATED</td>
<td>Internal tracking tool that validates IPERMS has pushed the last evaluation thru date to the ORB/ERB</td>
</tr>
</tbody>
</table>

Select a filter (you can use one, multiple, all, or none) results will vary

Note derivatives of UIC WH8Q appear
You may export the data to PDF, Excel, PowerPoint, or .mht
Select “Evaluation Reporting System (ERS) – Guard”
DASH 2 Rater/Senior Rater Profile Manager

* The steps are the same for both the Rater and Senior Rater DASH 2
Select the type of report you desire.

Enter the Rater or Senior Rater information.

Click “Apply” and the report will be generated.
Accessing Manage Delegates Function

- Access the Evaluation Entry System by clicking the link [https://evaluations.hrc.army.mil/](https://evaluations.hrc.army.mil/)

1) From the EES home page, select the “Manage Delegates” tab to access this function.
Adding a Delegate

If you have been granted the “Manage Delegate” permission, you can select the individual for whom to add a delegate.

Click “Add Delegate” at the bottom of page

2) Use the drop down to select your rating official role that you would like that delegate to assist you with. This will enable that delegate to access only evaluations that you hold that rating official role.

3) Enter the DOD ID and first two letters of the individual’s name, ensure the delegate’s information is correct.

4) Select the roles you want to grant this individual delegation authority and then click “Save.”
Manage Delegates

- Once you have delegates, you can update their authorizations as needed.

If you have been granted the “Manage Delegate” permission, you can select the individual for whom you are managing delegates.

Permissions must be granted in each role that you wish to provide delegation authority.

5) Select the role you want view / edit delegation authority for (Rater, Intermediate Rater, Senior Rater, and/or Supplementary Reviewer).

6) You can grant as many or as few permissions as you desire. This must be done for each role (e.g. rater and senior rater) and can be tailored for each delegate. Permissions can be granted for OER, NCOER, OER/NCOER, or none.

7) The delegate will only have delegation authority for evaluations within this period. The default “End Date” will be 1 year from the date the delegate was added. The “End Date” can be a maximum of 2 years from the current date.

Must click “Update Permissions” at the bottom of the page in order to save any changes to the delegate permissions.
- Manage Delegates - only two delegates may be authorized to add delegates to the user’s delegate list. This enables these two delegates to add or remove delegates as needed.

- View Rating Profile - only two delegates may be authorized to view the user’s senior rater and rater profile (the delegates must be authorized access to each profile individually). This enables these two delegates to assist users in the management of their profile.

- Edit Evaluations – up to 15 delegates may be authorized to review and make changes to evaluations on behalf of the user.

- Submit Evaluations / Remove Signatures – up to 15 delegates may be authorized to submit evaluations to HQDA on behalf of the senior rater and to remove signatures of personnel they are a delegate for.

- Enlisted Advisor – up to 15 delegates may be authorized to be an enlisted advisor. This enables these delegates to review NCOERs and provide notes / suggested comments that only the user can see.

**Counseling Management Report Tool**

- Search feature to find a specific Support Form
- Select “Support Form ID” to open an Adobe draft of the Support Form.
  - *You must be a delegate a rating official or the rated Soldier to see this information.*
**Tips & FAQs**

- **Incorrect Profile Numbers** – Some Senior Rater Profiles have incomplete / incorrect data transferred into their EES Senior Rater Profile. To correct, the Senior Rater (due to sensitive nature of the information only the Senior Rater may request) submit a request via email to:
  1. usarmy.knox.hrc.mbx.tagd-eval-policy@mail.mil
  2. Subject: Senior Rater Profile Verification
  3. Include Senior Rater’s full SSN, Ranks that need to be verified, why you think the data is incorrect


- **Sequencing** – IAW AR 623-3 para 3-11, HQDA processes OER/NCOERs in order of receipt. This is extremely important to remember when OER/NCOERs are submitted by the three means available – EES, Mail and Email (deployed units only).

- **Misfire** –
  1. **OER**
     - **Rater** - The assessment will apply to raters of Company and Field Grade officers. It will NOT apply to raters of Strategic Leaders (O6). The rating is sequenced based on when the rating is “Locked” within EES. A report with an “Excels” rating that causes a rater’s profile to exceed the 49.9% limitation for “Excels” ratings in the rated officer’s grade will be automatically processed with a “Proficient” HQDA electronically generated label. The rater and the senior rater listed on the report will be notified of the misfire in EES, and the report will process accordingly.
     - **Senior Rater** - The senior rater profile continues with the limitation of less than 50%. Senior Rater profiles in effect prior to 1 April 14 migrated to the new OER for LTC and below. COL grade profiles restarted because the math changed for the top two boxes. Senior raters of O6s were given a credit of 5 in the “Retain as Colonel” block. Senior raters of O6s are limited to less than 24% in the “Multi-star Potential” block and no more than 25-49% in the “Promote to BG” block. Senior raters may choose not to give any “Multi-star Potential” and could give up to 49.9% in “Promote to BG” box but, Senior Raters cannot exceed a cumulative of 49.9% for both. The MISFIRE warning is provided twice in EES and if the Senior Rater or his delegate submits anyway, the report is automatically downgraded and it still counts against the Senior Raters higher box check indication. Senior Raters are able to see their profile in real time by selecting the BLUE profile indication when working on the Senior Rater BOX check portion of EES. The profile is calculated at the time the evaluation is “received” by HRC.
  2. **NCOER**
     - **Rater** – The rating is not constrained. The Rater’s tendency is imprinted on the evaluation when it is processed by HQDA.
     - **Senior Rater** - A report with a “Most Qualified” rating that causes a Senior Rater’s profile to exceed the 24% limitation for “Most Qualified” ratings in the rated Soldier’s grade will be automatically processed with a “Highly Qualified” HQDA electronically generated label.
The rater and the senior rater listed on the report will be notified of the misfire in EES, and the report will process accordingly.

- **EES Workflow**

*Additional FAQs can be found on the EES Home Page ([https://evaluations.hrc.army.mil/index.html](https://evaluations.hrc.army.mil/index.html))


**Troubleshooting**

- **Unable to access an evaluation/support form**
  1. Ensure all DOD ID/SSNs were correctly entered- 99.9% of all unable to view errors are because of this.
  2. If you are a delegate, ensure the following:
     i. The applicable delegation authority has been granted
     ii. Permission were granted for all delegated roles
     iii. The delegation authority is still active (end date)
  3. If you are the rated soldier, ensure that the rater, intermediate rater (if applicable), and senior rater have signed the evaluation
  4. Ensure that the evaluation/support form was created on, and all user are using, the active EES webpage ([https://evaluations.hrc.army.mil/index.html](https://evaluations.hrc.army.mil/index.html))

- **Locked out of the evaluation**
  1. Signatures must be removed in reverse order (Supplementary Reviewer, Rated Soldier, Senior Rater, Intermediate Rater and then Rater). Failure to remove signatures in reverse order will result in locking individuals out of the report. Have all individuals re-sign the evaluation and then remove signatures in the proper sequence.
2. Ensure all users click the “Exit” button when exiting the evaluation/support form. Have the last individual who was in the report open the evaluation and exit using the “Exit” button. Or wait ten minutes, at which time the lock should be automatically removed.

- **Unable to lock rating (Can’t see lock button)**
  1. No lock button on SGT NCOERs
  2. Can only be locked by the Rater (Delegates cannot lock rating)
  3. Rating cannot be locked more than 14 days before the THRU date.
  4. Ensure DOD ID/SSN are correct for the Rater
  5. Cannot use dual CAC Card reader
  6. Must use Microsoft Internet Explorer 9 or greater

- **Adding/Removing a Supplementary Reviewer**
  1. Added/Removed by the Senior Rater
  2. “Senior Rater” button, Step 2
  3. For OERs, both the “Is a supplementary review required” question and the “Is a uniformed Army advisor available to perform the supplementary review” question must be answered ‘Yes’ in order to access the Supplementary Reviewer tab from the signature page.
  4. For NCOERs, the “Is a supplementary review required” question must be answered ‘Yes’ in order to access the Supplementary Reviewer tab from the signature page.

- **Deleting an evaluation from EES**
  1. Remove all signatures from the evaluation
  2. Remove the rater lock (see “How to unlock rating”)
  3. Senior Rater can then delete the evaluation by clicking the “Delete” button on the Signature Page.

- **Cannot change the rated soldier’s rank or date of rank**
  1. Remove all signatures from the evaluation
  2. Remove the rater lock (see “How to unlock rating”)
  3. Ensure that the rank and answers to the related questions are valid for the current form
     i. For NCOER, rank + promotable + serving in next higher position
     ii. For OER, rank + promotable + serving in next higher position
     iii. For both, frocked rank date = Date of Rank

- **How to unlock rating**
  1. NCOERs can be unlocked by the Rater if the evaluation has not been submitted to HQDA
     i. Ensure a dual CAC Card reader is not being used
     ii. Ensure all signatures have been removed
  2. OERs must be unlocked at HQDA
     i. The Rater must submit a request for an Exception to Policy in Memorandum format thru the chain of command (his/her Rater and Senior Rater) to the Evaluations Branch Chief, AHRC-PDV-E
     ii. Email to: usarmy.knox.hrc.mbx.tagd-eval-policy@mail.mil
     iii. Sample memo and additional details below.
Unlock Rater Profile Process

In order to unlock a Rater profile within EES, a request for an Exception to Policy (ETP) in Memorandum format will be submitted by the Rater thru the chain of command (his/her Rater and Senior Rater) to the Evaluations Branch Chief:

OFFICIAL LETTERHEAD

OFFICE SYMBOL

DATE

MEMORANDUM THRU (Rater’s Rater)

FOR U.S. Army Human Resources Command, Attention: AHRC-PDV-E, 1600 Spearhead Division Avenue, Dept. #470, Fort Knox, KY 40122-5407

SUBJECT: Request for an Exception to Policy to Unlock Rater Profile (Eval ID XXXXX)

1. Request (Rater’s rank, full name) rater profile for (Eval ID XXXXX) be unlocked due to (provide justification).

2. Point of contact for this request is (POC’s rank/title, full name, telephone number, and email address).

Signature Block (Rater’s Senior Rater)

- Once the ETP request is signed by the Rater’s Senior Rater, the request will be forwarded to the following email address for review and processing: usarmy.knox.hrc.mbx.tagd-eval-policy@mail.mil. Please ensure the subject of the email is: Unlock Evaluation.

Ways to Submit OER/NCOERs

1. EES – click “Submit to HQDA” button at top of OER/NCOER once all appropriate signatures are obtained.

2. Mail – mail hard copies to the following addresses (AR 623-3, Table F-1):

   - AERs:
     U.S. Army Human Resources Command (AHRC–PDV–ER) (Evaluation Processing)
1600 Spearhead Division Avenue, Department 470
Fort Knox, KY 40122–5407

- OER/NCOERs:
  U.S. Army Human Resources Command
  (AHRC–PDV–ER) (Evaluation Processing)
  1600 Spearhead Division Avenue, Department 470
  Fort Knox, KY 40122–5407

- Appeals:
  U.S. Army Human Resources Command
  (AHRC–PDV–EA) (Evaluation Appeals)
  1600 Spearhead Division Avenue, Department 470
  Fort Knox, KY 40122–5407

3. Email – this option is for deployed units only (subject line: Deployed OER/NCOER), must select 600 DPI or greater resolution for scanned OER/NCOERs. It is best to use EES whenever possible.

  - usarmy.knox.hrc.mbx.tagd-eval-from-deployed@mail.mil

*Reference AR 623-3, Table F-1 for additional contact information